

# DATABASE CONTENT — BY ASSET RANGE

U.S. Plan Sponsors	Plan Assets	Corporate	Union	Government	Endowment	Private Foundations	Tax-Exempt Organizations	Health Service	Totals
	\$1 Billion	517	99	210	74	54	57	122	1,133
	\$500 Million	853	196	286	130	118	132	232	1,947
	\$475 Million	888	201	291	132	121	138	246	2,017
	\$400 Million	1,035	228	318	150	146	172	293	2,342
	\$350 Million	1,124	254	331	170	158	193	325	2,555
	\$300 Million	1,252	299	353	190	191	220	364	2,869
	\$250 Million	1,423	338	382	220	231	278	429	3,301
	\$200 Million	1,671	397	412	254	297	344	506	3,881
	\$150 Million	2,059	481	460	317	389	484	598	4,788
	\$100 Million	2,683	594	538	405	559	701	775	6,255
	\$75 Million	3,197	687	591	487	718	948	911	7,539
	\$50 Million	4,150	807	673	647	989	1,339	1,135	9,740
	\$25 Million	7,069	960	831	881	1,627	2,350	1,706	15,424
	\$20 Million	8,777	1,021	867	987	1,887	2,773	2,042	18,354
\$10 Million	16,370	1,133	1,020	1,232	2,837	4,194	3,562	30,348	
\$5 Million	27,687	1,212	1,126	1,347	3,420	5,438	5,890	46,120	
>\$1 Million	52,881	1,275	1,195	1,376	3,554	6,350	12,353	78,984	

  

Canadian Plan Sponsors	Plan Assets	Corporate	Union	Government	Endowment	Private Foundations	Tax-Exempt Organizations	Health Service	Totals
	\$1 Billion	49	7	30	14	0	2	2	104
	\$500 Million	82	15	39	24	1	4	2	167
	\$475 Million	89	15	39	24	1	4	1	173
	\$400 Million	99	16	40	24	3	4	1	187
	\$350 Million	107	16	40	25	3	4	1	196
	\$300 Million	119	18	43	28	4	5	1	218
	\$250 Million	128	21	43	30	5	7	1	235
	\$200 Million	150	23	47	33	7	8	1	269
	\$150 Million	183	28	48	35	9	10	1	314
	\$100 Million	229	35	51	40	15	19	2	391
	\$75 Million	267	44	54	45	17	27	2	456
	\$50 Million	328	50	59	50	27	35	2	551
	\$25 Million	420	72	63	57	45	54	3	714
	\$20 Million	485	75	63	59	53	57	3	795
\$10 Million	597	85	70	72	73	77	3	977	
\$5 Million	685	94	76	87	93	117	6	1,158	
>\$1 Million	826	95	78	108	145	200	7	1,459	

  

Investment Managers	Assets	Institutional Money Managers	Real Estate Advisors	Financial Advisors	Totals
	> \$1 Billion	1,850	68	81	1,999
	> \$500 Million	2,378	74	386	2,838
	> \$250 Million	2,965	77	964	4,006
	> \$100 Million	3,635	82	2,293	6,010
	> \$50 Million	4,018	83	3,826	7,927
	> \$25 Million	4,336	83	5,873	10,292
	> \$10 Million	4,563	86	8,308	12,957
	> \$5 Million	4,674	86	9,378	14,138
	> \$1 Million	4,779	86	10,891	15,756
	Under \$1 Million	3,715	45	7,878	11,638
	Total Managers	<b>8,494</b>	<b>131</b>	<b>18,769</b>	<b>27,394</b>

# DATABASE CONTENT — BY PLAN TYPE

Plan Type	Number of Organizations	Number of Plans	Plan Assets (In Thousands)	U.S. Plan Types
Defined Benefit Plan	8,974	10,122	4,326,830,055	
Cash Balance Plan	725	748	258,628,926	
Target Benefit	171	172	1,366,854	
Profit Sharing Retirement Plans	11,796	11,908	367,461,244	
Money Purchase Plan	3,933	4,033	81,186,780	
Thrift & Savings Plan	28	29	19,407,001	
401(k) Plan	49,964	52,075	2,012,174,874	
Stock Plans	1,940	1,949	58,658,196	
Tax Deferred Annuities	52	175	2,337,497	
403(b) Plan	297	299	66,700,309	
457 Government Plans	227	245	105,496,249	
Foundation Fund	12,054	12,172	1,489,751,484	
Endowment Fund	1,029	1,049	443,614,323	
Other Tax-exempt Fund	42	70	99,369,595	
Other Retirement Fund	40	44	14,633,811	
Nuclear Decommissioning Fund	28	33	30,622,367	
Keogh Plan	63	64	3,582,934	

Plan Type	Number of Organizations	Number of Plans	Plan Assets (In Thousands)	Canadian Plan Types
Defined Benefit Plan	589	684	706,220,591	
Cash Balance Plan	1	1	34,235	
Target Benefit	0	0	0	
Profit Sharing Retirement Plans	289	324	23,611,262	
Money Purchase Plan	177	183	3,811,808	
Thrift & Savings Plan	2	2	176,850	
401(k) Plan	83	104	6,662,565	
Stock Plans	2	2	194,926	
Tax Deferred Annuities	0	0	0	
403(b) Plan	0	0	0	
457 Government Plans	0	0	0	
Foundation Fund	339	340	12,963,036	
Endowment Fund	98	101	23,983,514	
Other Tax-exempt Fund	1	1	728,314	
Other Retirement Fund	100	100	9,161,138	
Nuclear Decommissioning Fund	0	0	0	
Keogh Plan	0	0	0	

## Information Money Market Directories Provides on the 401(k) Marketplace

Total Number of 401(k) Plans: 52,180	Eligible Employees
Total 401(k) Assets: \$2,018,854,450	Recordkeeper Name
Name of Plan	Investment Options
Plan Assets	Investment Managers for the 401(k) Plan
Annual Contributions	Asset Allocation for the 401(k) Plan
Assumed Interest Rate	Senior Human Resources Officers (42,247)
Asset Valuation Date	Benefits Managers (9,314)
SIC Code	Senior Defined Contribution Investment Officers (50,034)
# of Participants	